

# EXCHANGE TRADER FORM & ACCESS TO THE ELECTRONIC TRADING SYSTEM (ETS)

Please return this completed form to MARKETPLACE SERVICES [Desken@nasdaq.com](mailto:Desken@nasdaq.com)

Please note that all information requested in the form must be provided. Any individual(s) appointed hereunder must be adequately trained and experienced to fulfill its designated function as Exchange Trader, and be familiar with the Trading Rules and the general operations of the member vis-a-vis the Exchange in respect of such function. The individual must normally be available for contact during Opening Hours.

COMPANY NAME		MEMBER ID	Exchange NC	MPID
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## 1) THE FOLLOWING PERSON IS HEREBY AUTHORIZED AS EXCHANGE TRADER

DOCUMENT STATUS	<input type="checkbox"/> New	<input type="checkbox"/> Amendment	<input type="checkbox"/> Deletion	Username:
NAME			POSITION	
CONTACT EMAIL			DIRECT PHONE/MOBILE	
MARKET ACCESS FOR REQUESTED USER ACCOUNT	<input type="checkbox"/> Nordic Power - EUR <small>(Futures and DS Futures)</small>	<input type="checkbox"/> European Energy - EUR <small>(German, French Power Futures, Renewable Futures)</small>	<input type="checkbox"/> European Energy – EUR <small>(Power &amp; Gas Monthly DS Futures) <sup>1</sup></small>	
	<input type="checkbox"/> Freight, Fuel Oil - USD	<input type="checkbox"/> Electricity Certificates - SEK	<input type="checkbox"/> Ferrous products - USD <small>(Bulk &amp; Steel)</small>	
	<input type="checkbox"/> UK Energy – GBP <small>(Power &amp; Gas Futures)</small>	<input type="checkbox"/> UK Energy – GBP <small>(Power &amp; Gas Monthly DS Futures) <sup>2</sup></small>	<input type="checkbox"/> European Allowances - EUR	

## ACCESS REQUEST TO ETS (OPTIONAL)

ENVIRONMENT	<input type="checkbox"/> Production	<input type="checkbox"/> EXT1 <small>(Full trading &amp; clearing cycle)</small>	<input type="checkbox"/> EXT3 <small>(Only Trading)</small>	
ELECTRONIC TRADING SYSTEM USER ACCOUNT REQUEST <sup>3</sup>	<input type="checkbox"/> Trading Workstation	<input type="checkbox"/> Trading Workstation View Only	<input type="checkbox"/> Trayport Downstream	<input type="checkbox"/> Trayport Upstream
	<input type="checkbox"/> Trading Technologies (TT) Admin User, A trading user and FIX Port will be configured for TT connection.	<input type="checkbox"/> Trading Technologies (TT) Trading User	<input type="checkbox"/> Third Party User Specify Application Name:	

<sup>1</sup> European Energy – EUR (Power & Gas Monthly DS Futures) - German, French, Netherlands, Belgian, Italian and Spanish Power DS Futures. German, French and Netherlands Gas DS Futures.

<sup>2</sup> UK Energy – GBP (Power & Gas Monthly DS Futures) - UK Power DS Futures. UK and Belgian Gas DS Futures.

<sup>3</sup> Only to be filled out if electronic access (ETS) is needed. If not, the person will only be able to trade *voice* with the Exchange. A Trayport user needs an upstream account for trading. In addition, each company using Trayport needs a downstream account (one is sufficient per company). The downstream account is delivered free of cost except when unrelated to Trayport. Please specify which Third Party System (FIX or OMnet based) will be in use. Third Party: e.g. API connection for software developer, always test only.

**2) THE FOLLOWING PERSON IS HEREBY AUTHORIZED AS EXCHANGE TRADER**

DOCUMENT STATUS	<input type="checkbox"/> New	<input type="checkbox"/> Amendment	<input type="checkbox"/> Deletion	Username:
NAME			POSITION	
CONTACT EMAIL			DIRECT PHONE/MOBILE	
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	<input type="checkbox"/> Freight, Fuel Oil - USD	<input type="checkbox"/> Electricity Certificates - SEK	<input type="checkbox"/> Ferrous products - USD (Bulk & Steel)	
	<input type="checkbox"/> UK Energy – GBP (Power & Gas Futures)	<input type="checkbox"/> UK Energy – GBP (Power & Gas Monthly DS Futures) <sup>2</sup>	<input type="checkbox"/> European Allowances - EUR	

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	<input type="checkbox"/> Trading Technologies (TT) Admin User, A trading user and FIX Port will be configured for TT connection.	<input type="checkbox"/> Trading Technologies (TT) Trading User	<input type="checkbox"/> Third Party User Specify Application Name:	

**3) THE FOLLOWING PERSON IS HEREBY AUTHORIZED AS EXCHANGE TRADER**

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NAME			POSITION	
CONTACT EMAIL			DIRECT PHONE/MOBILE	
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	<input type="checkbox"/> Freight, Fuel Oil - USD	<input type="checkbox"/> Electricity Certificates - SEK	<input type="checkbox"/> Ferrous products - USD (Bulk & Steel)	
	<input type="checkbox"/> UK Energy – GBP (Power & Gas Futures)	<input type="checkbox"/> UK Energy – GBP (Power & Gas Monthly DS Futures) <sup>2</sup>	<input type="checkbox"/> European Allowances - EUR	



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ADDITIONAL INFORMATION	
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**COMPLIANCE CONTACT**

NAME		DIRECT PHONE/MOBILE	
POSITION			
CONTACT EMAIL			
COMMENT			

**IT CONTACT**

NAME		DIRECT PHONE/MOBILE	
POSITION			
CONTACT EMAIL			



AUTHORIZED SIGNATURE		
Date	Signature	Name and Title in print

Authorized signatures are required in order to effectuate this form and any amendments hereto.

By signature above I/we declare that I/we am/are authorized to sign on behalf of the member identified above and that I/we have read and understood the Trading Rules in terms of the effects of the authorizations contained herein, and that the persons appointed are deemed adequately qualified to fulfill their designated functions.

**INQUIRIES REGARDING THIS FORM CAN BE DIRECTED TO MARKETPLACE SERVICES:**

**E-MAIL:** [DESKEN@NASDAQ.COM](mailto:DESKEN@NASDAQ.COM) | **TEL:** [+47 6752 8037](tel:+4767528037)

**CONFIRMATION BY NASDAQ**

APPROVED BY DESKEN		
MPID	Date	Signature

CONFIGURED BY	
Date	Signature