

## Application for the listing of exchange traded funds

A decision regarding the listing of fund units is taken by the Exchange following an application from the fund company. The exchange decides whether the conditions exist for suitable exchange trading in the fund units. Particular importance is given to the contents of the information brochure.

### The application documents:

1. Listing application from the fund company
2. A copy of the permit from the Financial Supervisory Authority or equivalent foreign authority
3. Up-to-date information brochure, annual report and half-yearly report
4. The form "Facts about the fund", please see appendix A in the application document
5. A certificate of incorporation
6. A certificate of distribution of the fund units or a market maker agreement

The fund company, \_\_\_\_\_, hereby applies that its fund unit, according to the facts about the fund, will be listed on NASDAQ OMX Stockholm.

.....  
Place and date

.....  
The company (authorized corporate signature)

Please send the application to:  
Issuer Surveillance  
NASDAQ OMX  
105 78 Stockholm

## Appendix A - Facts about the Fund

### The Fund Company

Registered Company Name	
Corporate identification number	
Address	
Telephone Number	
Fax	
Website	

### The Fund

Name of the Fund	
In what currency is the fund denominated	
ISIN Code ( <i>from the VPC</i> )	
Actual NAV/Fund Unit	
Number of Fund Units	
Fund Assets	
Market Maker	
Number of Fund Unit Holders	

### Short Name

Suggested Short Name (up to 16 signs) (The short name is the Fund's identification in the trading system. Valid signs are a-z, 0-9)	
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Responsible for the above stated information	
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