

CORPORATE ACTION MIDDLEWARE (CAM)

Nordic Corporate Action Surveillance

In order to stay abreast of Corporate Action (CA) events that may affect client's holdings, it's often necessary to monitor multiple CA information sources. To interpret conditions and monitor possible changes of such events typically require many cumulative work hours. NASDAQ OMX® can offer a product which presents Nordic CA information in a collected and foreseeable way in order to facilitate administration and reduce risk related to CA processes.

FACTS

- > A service that gathers, compiles and distributes information of Nordic Corporate Action Events, ruled by the guiding principles of the Security Market Practice Group.
- > Rapid notification.
- > A ready-to-use web application that present Corporate Action information in a collected and foreseeable way.
- > All notifications are also available as formatted SWIFT-messages directly on the web.
- > Corporate Action Data can be distributed through file and/or web.



the calendar view is to clearly present when custody actions need to be taken for particular CA events.

The aggregate view present live update of events and it has a variety of search functions which makes it possible to see the events or event types in tailored views. Each CA-event has a unique CA-reference which makes it possible to follow the status and the life-cycle of events. Each event can be viewed as a SWIFT formatted message through linkage to the CA-reference.

DESCRIPTION

Web application

The web application presents CA-information in two ways. A CA-calendar view presents date types together with event types that are of importance for a particular date. For example, if an event has its last subscription date, record date, pay date etc, it will be presented for that particular date in the calendar view. Detailed conditions are defined together with the event type. The purpose of

Scope and standard

The CA coverage includes stock related instruments that are traded on NASDAQ OMX Stockholm, Copenhagen, Helsinki, Iceland, First North Stockholm, Copenhagen, Helsinki, Iceland, Aktietorget, NGM Nordic Growth (incl Nordic MTF) and Oslo Børs (incl Axess). The events are presented according to the Security Market Practice Group guiding principles.

More Information

Telephone: +46 8 405 75 20 | Website: www.nasdaqomx.com/whatwedo/posttradeservices
E-mail: brokerservices_sales@nasdaqomx.com