CHANGES TO THE RULES AND REGULATIONS OF NASDAQ OMX
DERIVATIVES MARKETS

Implementation 25 March 2013
INTRODUCTION

This document contains a description of the changes to Chapter 2A (Trading Rules regarding Exchange Listed Derivatives) of the Rules and Regulations of NASDAQ OMX Derivatives Markets (the “Rules”).

The updated Rules will be implemented on 25 March 2013.

The change and its expected implications can be summarized as follows:

TRADING RULES REGARDING EXCHANGE LISTED DERIVATIVES (CHAPTER 2A)

- 2A.3.4: The change has been made to support the implementation of a new functionality in Genium INET – a price control on incoming orders (fat finger check). The new functionality in the trading system will compare price instruction of all incoming orders against a reference price and the allowed deviation from such reference price. If the price instruction of the incoming order is outside the allowed deviation from the reference price the order will be rejected by the trading system. The reference price and the allowed deviation will be determined by the Exchange at its sole discretion, but in accordance with principles set out in the Genium INET Market Model document. For further information about the functionality and the use thereof, please see Genium INET Market Model document NASDAQ OMX Derivatives Markets.