NLX ENHANCED PRE-TRADE RISK MANAGEMENT

PRE-TRADE RISK MANAGEMENT (PRM)

+ Ensure Market Integrity
+ Minimal Latency Impact with a mix of Pre- and Post-Trade Controls
+ Compliance with ESMA Guidelines
+ Focus on Highly Automated Trading Flows
+ Allows GCMS to allocate appropriate risk management controls to NCMS and clients

PRM SUMMARY

NLX provides participants with the ability to facilitate pre-trade protection on Genium INET as an optional complimentary service. To find out how you can benefit from this complimentary service contact your NLX account manager now.

PRE-TRADE RISK SERVICE COVERAGE

- The PRM service covers on-exchange trading
- OTC trade reporting
- Any method of Order Entry; FIX, ISV, trading workstation

PRE-TRADE RISK MANAGEMENT ARCHITECTURE

- The NLX market has the ability to administer limits on behalf of the member via the NLX internal GUI
- A participant sets up limits for a client
- All checks are user based

POST-TRADE

- Position Risk Limits
- Maximum Order/Sec Rate
- Email Alerts
- Disconnect of Sponsoring Participant Safeguard
Trader panel displays hierarchy of GCM/NCM/PRM groups
Real-time consumption view
Edit limits view
List of users belonging to a PRM group
Email recipients view
Intra-day changes
Next day changes

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MORE INFORMATION

WWW.NLX.CO.UK | EMAIL: INFO@NLX.CO.UK | TEL: +44 (0) 20 3753 2107 | FAX: +44 (0) 20 3753 2001 | TWITTER: @TRADENLX

NASDAQ OMX NLX LTD. | WOOLGATE EXCHANGE, 25 BASINGHALL STREET LONDON EC2V 5HA UK