NLX ENHANCED PRE-TRADE RISK MANAGEMENT

PRE-TRADE RISK MANAGEMENT (PRM)
- Ensure market integrity
- Minimal latency impact with a mix of pre- and post-trade controls
- Compliance with ESMA guidelines
- Focus on highly automated trading flows
- Allows GCMS to allocate appropriate risk management controls to NCMS and clients

PRE-TRADE RISK MANAGEMENT ARCHITECTURE

PRE-TRADE
- PRM Group Status
- Order Price Limit
- Max Order Quantity

POST-TRADE
- Position Risk Limits
- Maximum Order/Sec Rate
- Email Alerts
- Disconnect of Sponsoring Participant Safeguard

PRM SUMMARY
NLX provides participants with the ability to facilitate pre-trade protection on Genium INET as an optional complimentary service. To find out how you can benefit from this complimentary service contact your NLX account manager now.

Available a number of post-trade and pre-trade checks

The limits can be administered by the participant via an Administration User Interface

A participant sets up limits for a client

All checks are user based

Pre-trade risk service coverage
- The PRM service covers on-exchange trading
- OTC trade reporting
- Any method of Order Entry; Fix, ISV, trading workstation

For more information, visit www.nlx.co.uk.
SCREENSHOT OF ADMIN GUI

1. Trader panel displays hierarchy of GCM/NCM/PRM groups
2. Real-time consumption view
3. Edit limits view
4. List of users belonging to a PRM group
5. Email recipients view
6. Intra-day changes
7. Next day changes

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